



Receipt

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor(s) : Shiro IWASAKI et al.  
Serial No. : 09/891,944  
File Date : June 26, 2001  
Title : AUTOMATIC LEVEL CONTROL FOR CHANGING AUDIO MODE  
OF DIGITAL VIDEO RECORDING APPARATUS  
Art Unit : 2615 Examiner:  
Docket No. : M2047-9

**RECEIVED**  
**AUG 31 2001**  
**Technology Center 2600**

**CERTIFICATE UNDER 37 CFR 1.8(a)**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Hon. Assistant Commissioner for Patents, Washington D.C. 20231 on:

Date : Aug. 22, 2001  
By : Margaret L. Goldstein  
Signature : *M. Goldstein*

Hon. Assistant Commissioner for Patents  
Washington, D.C. 20231

Application Processing Division  
Customer Correction Branch

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Subsequent to the filing of this application on June 26, 2001, the Patent Office issued a Filing Receipt (copy attached).

The Filing Receipt contains a misspelling of the fourth inventor's name. For your reference, a duplicate copy of the Declaration and the transmittal is also attached wherein the name is spelled correctly. Please correct the inventor's name to read **KOJIRO KAWASAKI**.

Please issue a Corrected Filing Receipt.

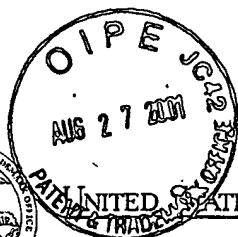
We believe no fee is payable for this correction. However, the Commissioner is authorized to charge any fee to our Deposit Account No. 13-4550.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Andrew Young", with a stylized flourish at the end.

Andrew F. Young  
Reg. No. 44,001  
Attorney for Applicant

**MORRISON LAW FIRM**  
145 North Fifth Avenue  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/891,944	06/26/2001	2615	870	M2047-9	4	12	5

CONFIRMATION NO. 1694

## FILING RECEIPT



\*OC000000006428120\*

Thomas R. Morrison, Esq.  
MORRISON LAW FIRM  
145 North Fifth Avenue  
Mount Vernon, NY 10550

AUG 17 2001

Date Mailed: 08/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Shiro Iwasaki, Iizuka-Shi, JAPAN;  
Kazuhiko Nakamura, Hirakata-Shi, JAPAN;  
Hideki Fukuda, Nara-shi, JAPAN;  
Koiro Kawasaki, Katano-Shi, JAPAN;

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Should be KOJIRO

## Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 2000-194205 06/28/2000

If Required, Foreign Filing License Granted 08/15/2001

Projected Publication Date: 01/03/2002

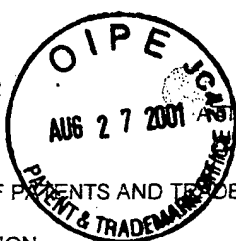
Non-Publication Request: No

Early Publication Request: No

## Title

Automatic level control for changing audio mode of digital video recording apparatus

## Preliminary Class

ATTY. DOCKET NO.: M2047-9

THE COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
Box: PATENT APPLICATION

EXPRESS MAIL LABEL NO. EL511078469US

Sir:

MAIL DATE: June 26, 2001BY: M. Goldstein

Margaret L. Goldstein

37 CFR 1.53(b) UTILITY PATENT APPLICATION TRANSMITTAL

Inventor(s): Shiro IWASAKI, Kazuhiko NAKAMURA, Hideki FUKUDA and Kojiro KAWASAKITitle: AUTOMATIC LEVEL CONTROL FOR CHANGING AUDIO MODE OF DIGITAL VIDEO RECORDING APPARATUS

Enclosed are:

- ☒ Specification (17 pages), including Abstract and 12 claims.  
☒ 4 sheets of drawing containing 4 Figures (Formal).  
☒ Declaration/Power of Attorney  
☐ This application is filed pursuant to 37 CFR §1.53(b). The Declaration will be filed pursuant to 37 CFR §1.63.  
☒ Assignment and PTO-1595 Cover Sheet  
☐ A Verified Statement to Establish Small Entity Status Under 37 CFR 1.9 and 37 CFR 1.27 (Independent Inventor).  
☐ A Verified Statement to Establish Small Entity Status Under 37 CFR 1.9 and 37 CFR 1.27 (Small Business Concern).  
☒ Certified copy of Priority Document(s) for 35 USC 119 priority claim: Japanese Appl. No. 2000-194205, filed June 28, 2000

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The filing fee has been calculated as shown below:

	(Col. 1)	(Col.2)
FOR:	NO. FILED	NO. EXTRA *
BASIC FEE		
TOTAL CLAIMS	12 - 20 =	* 0
INDEP CLAIMS	5 - 3 =	* 0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED <input type="checkbox"/> LATE FILING OF DECLARATION <input checked="" type="checkbox"/> ASSIGNMENT RECORDATION FEE		

\* If the difference in Col. 1 is less than zero, enter "0" in Col. 2

SMALL ENTITY	
RATE	FEE
	\$ 355
X 9 =	\$
X 40 =	\$
+ 130 =	\$
+ 65 =	\$
+ 40 =	\$
TOTAL	\$

OTHER THAN SMALL ENTITY	
RATE	FEE
	\$ 710
X 18 =	\$
X 80 =	\$ 160
+ 260 =	\$
+ 130 =	\$
+ 40 =	\$ 40
TOTAL	\$ 910

- ☐ The filing fee will be paid with our Response to Missing Parts of Application.  
☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.  
☒ A check in the amount of \$ 910.00 to cover the filing fee and assignment is enclosed.  
☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 13-4550:  
☒ Any additional fees required under 37 CFR 1.16 and 1.17.

Respectfully submitted,

Dated: June 26, 2001

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W:\USERS\INGA\WPDATA\M2047-9.NPT.



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CONFIRMATION NO. 1694



Bib Data Sheet

<b>SERIAL NUMBER</b> 09/891,944	<b>FILING DATE</b> 06/26/2001 <b>RULE</b>	<b>CLASS</b> 386	<b>GROUP ART UNIT</b> 2615	<b>ATTORNEY DOCKET NO.</b> M2047-9
<b>APPLICANTS</b> Shiro Iwasaki, Iizuka-Shi, JAPAN; Kazuhiko Nakamura, Hirakata-Shi, JAPAN; Hideki Fukuda, Nara-shi, JAPAN; Kojiro Kawasaki, Katano-Shi, JAPAN;				
<b>** CONTINUING DATA *****</b>  <b>** FOREIGN APPLICATIONS *****</b> JAPAN 2000-194205 06/28/2000				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 08/15/2001</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature _____ Initials _____		<b>STATE OR COUNTRY</b> JAPAN	<b>SHEETS DRAWING</b> 4	<b>TOTAL CLAIMS</b> 12
				<b>INDEPENDENT CLAIMS</b> 5
<b>ADDRESS</b> Thomas R. Morrison, Esq. MORRISON LAW FIRM 145 North Fifth Avenue Mount Vernon, NY 10550				
<b>TITLE</b> Automatic level control for changing audio mode of digital video recording apparatus				
<b>FILING FEE RECEIVED</b> 870	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	